

DOCKET NO.: 0834-0243-0

IN RE APPLICATION OF: Hideaki SAKURAI, et al.

ATTENTION: BOX MISSING PARTS

SERIAL NO.: 09/457,743

DATE: December 10, 1999

PROTECTIVE THIN FILM FOR FPDs, METHOD FOR PRODUCING SAID THIN FILM AND FPDs USING SAID THIN FILM

THIS IS A RESPONSE TO A NOTICE TO FILE MISSING PARTS OF APPLICATION

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith are the required documents in response to a Notice to File Missing Parts of Application (Form PTO-1533) in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a Verified Statement Claiming Small Entity Status previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a Verified Statement Claiming Small Entity Status submitted herewith.
- ☒ Additional documents filed herewith: **NOTICE TO FILE MISSING PARTS OF APPLICATION (Form PTO-1533, return copy); PRELIMINARY AMENDMENT; FILING OF CERTIFIED ENGLISH TRANSLATION UNDER 37 C.F.R. §1.17(k); CERTIFICATION OF TRANSLATION; CERTIFIED ENGLISH TRANSLATION OF APPLICATION AS FILED INCLUDING 153 pp. SPECIFICATION, 25 CLAIMS, 5 SHEETS OF DRAWINGS; FILING OF DECLARATION UNDER 37 C.F.R. §1.53(f); DECLARATION AND POWER OF ATTORNEY (executed, 5 pp.);**

The Fee has been calculated as shown below:

| CLAIMS | CLAIMS REMAINING | | HIGHEST NUMBER PREVIOUSLY PAID | NO. EXTRA CLAIMS | RATE | CALCULATIONS |
|-------------|------------------|--|--------------------------------|------------------|-----------|--------------|
| TOTAL | 44 | MINUS | 34 | 10 | × \$18 = | \$180.00 |
| INDEPENDENT | 5 | MINUS | 5 | 0 | × \$78 = | \$0.00 |
| | | MULTIPLE DEPENDENT CLAIMS | | | + \$260 = | \$0.00 |
| | | TOTAL OF ABOVE CALCULATIONS | | | | \$180.00 |
| | | <input type="checkbox"/> Reduction by 50% for filing by Small Entity | | | | \$0.00 |
| | | <input type="checkbox"/> Recordation of Assignment | | | + \$40 = | \$0.00 |
| | | TOTAL | | | | \$180.00 |

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the U.S. Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
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Docket No. 0834-0243-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

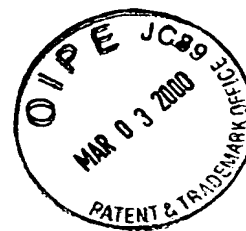
IN RE APPLICATION OF: Hideaki SAKURAI, et al.

SERIAL NUMBER: 09/457,743

ATTN: BOX MISSING PARTS

FILING DATE: December 10, 1999

FOR: PROTECTIVE THIN FILM FOR FPDs, METHOD FOR PRODUCING SAID THIN FILM AND FPDs
USING SAID THIN FILM



FILING OF DECLARATION UNDER 37 CFR 1.53(f)

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated January 27, 2000, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hideaki SAKURAI, et al.

SERIAL NO.: 09/457,743

FILED: December 10, 1999



: ATTENTION: BOX MISSING PARTS

FOR: PROTECTIVE THIN FILM FOR FPDs, METHOD FOR PRODUCING SAID
THIN FILM AND FPDs USING SAID THIN FILM

FILING OF CERTIFIED ENGLISH TRANSLATION
UNDER 37 C.F.R. §1.17 (k)

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the Notice to File Missing Parts of Application (Form PTO-1533) dated
January 27, 2000, Applicants submit herewith a certified English translation of the application, as
filed, in accordance with the provisions of 37 C.F.R. §1.17(k).

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination
and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in dark ink, appearing to read "Norman F. Oblon", written over a horizontal line.

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO./TITLE

09/457,743 12/10/99 SAKURAI

083470348-0

0232/0127

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT
1755 JEFFERSON DAVIS HIGHWAY
FOURTH FLOOR
ARLINGTON VA 22202

DATE MAILED:

01/27/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

Decl/Station Due 3-27-00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 20

☐ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient

Applicant must submit \$ 20 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 0 for 0 total claims over 20

\$ 0 for 0 independent claims over 3

\$ 0 for multiple dependent claim surcharge

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box-Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

03/10/2000 09457743 0000032 09457743 160.00 DP 01 EC:103